Regulations of the Research and Teaching Ethics Committee

Vice-Rectorate for Research and International Relations



Summary

- I. Preamble
- II. Ethics bodies in research and teaching practice
- III. Commission on Ethics in Research and Teaching (CEID)
- **IV. Additional Provision**
- **V. Final Provisions**



I. Preamble

UNIE University develops a series of actions to encourage and recognise the research activity of its teaching and research staff. Research is, together with teaching and transfer, an essential function of UNIE University.

Research encompasses not only the quest to discover and know reality through explanation and understanding of natural and social phenomena, but also a socially established cooperative activity in the pursuit of knowledge.

In this context, different universities and research centres have, over the years, set up their research ethics committees with the aim of guiding the research process and its applications. The aim of these committees has also been to guarantee freedom of thought and freedom of scientific creation and production, while also guaranteeing the values and rights that may be derived from this activity.

The development of the different research ethics committees also responds to different motivations. The first of these is the call for research, which for years has required projects with ethical implications to be assessed by an independent committee to analyse compliance with ethical, methodological and legal requirements. In addition, an increasing number of editorial boards of scientific journals require validation by ethics committees as a prerequisite for the publication of scientific results obtained by a research project. The definitive impetus for the creation of this type of university bodies has come from a series of sectoral regulations establishing the obligation of prior assessment in order to be able to carry out certain research activities and even teaching practice in some cases.

These bodies therefore have the task of ensuring that research projects and teaching activities carried out in universities and research centres comply with ethical, methodological and legal requirements.

The need to articulate the correct development of research work requires the constitution of the Research Ethics Commission at the Universidad Internacional de la Empresa (UNIE), which must supervise and accredit research projects and teaching activities carried out at the university itself. To this end, it has an evaluation committee that is defined as a collegiate body responsible for evaluating the ethical, methodological and legal aspects that arise.

This committee is responsible for evaluating and issuing a prior, mandatory and binding report on the methodological, ethical and legal aspects of research projects and teaching activities that request it, as well as monitoring them. It is a collegiate body that stands out not only for the technical capacity and professional competence of its members, but also for its impartiality and independence.

The importance of Research Ethics Committees lies in their contribution to the balance between advances in science and technology and fundamental rights and the common good, acting as a body for the control, evaluation and monitoring of research projects and activities.

The Research Ethics Committees are also responsible for promoting training, information and dissemination activities in the areas of their competence, making recommendations and establishing guidelines for action and, in short, everything that



contributes to facilitating the work of research personnel, especially with regard to the monitoring by the competent authorities of the ethical, methodological and legal aspects of research activities.

Research is a distinctive feature of the university, which forms part of the basic functions of UNIE University: to create, develop and evaluate science in order to disseminate and transmit knowledge at the service of society. For this reason, research must be promoted, establishing material and institutional conditions that help to consolidate an ethical research culture.

II. Ethics bodies in research and teaching practice

Article 1. Objectives and structure.

- a) The objectives of these bodies are
 - To ensure that all research projects and teaching activities carried out at UNIE
 University comply with methodological, ethical and legal requirements, without
 prejudice to the mandatory reports to be made by the university legal services
 when required by general or university regulations, with each body acting within
 the scope of its respective competence.
 - To ensure such adequacy with evaluations to guarantee it.
 - To certify the above to the relevant authorities.
- b) For these purposes, the UNIE University Research and Teaching Ethics Committee is regulated in these regulations.
- c) This research ethics body is attached to the Vice-Rector's Office responsible for research ethics.

Article 2. Scope of action.

The scope of action of this body is all research and teaching practice projects carried out by UNIE University, which are submitted for evaluation and which involve the use of personal data

Article 3. Technical and human support.

It is the responsibility of the Vice-Rectorate responsible for the matter to provide the Commission and the Committee with the human and technical materials necessary for the development of their tasks and functions.

III. Commission on Ethics in Research and Teaching (CEID)

Article 4. Definition and objectives.

The CEID is the body that promotes the development of research ethics at UNIE University and facilitates and coordinates the activity of the evaluation and monitoring committees.

Article 5. Composition.

 The CEID shall be made up of at least the Vice-Rector responsible for the subject and/or the person designated by him/her, the person who performs the technical support tasks



for the Commission.

b) The CEID may request, when it deems appropriate, the advice of experts from UNIE University itself or from external bodies.

Article 6. Functions. The functions of the CEID are

- a) To approve the Standard Operating Procedures (SOPs), without prejudice to the competences that may be attributed to other bodies outside UNIE University. The SOPs shall include at least aspects relating to their composition (positions, functions, selection, format of publication of the curriculum vitae of their members, their renewal, presidency, cessation and conflict of interest), working methods (meetings, methods of evaluation of research activities and teaching practice), mechanisms of allegation and review procedures of the decisions adopted, training tasks, drafting of recommendations, monitoring models and annual report.
- b) To detect within the scope of action of the UNIE University any needs relating to knowledge and compliance with regulations on methodological, ethical and legal aspects in research and teaching practice, and to propose to the appropriate body the appropriate measures to respond to these needs.
- c) To facilitate, within the scope of this Regulation, relations with the competent authorities.
- d) To coordinate its activity with that of similar commissions or research ethics committees in other institutions.
- e) To draw up the Annual Report, which will contain data on its activity, evaluation and balance of the same.

IV. Additional Provision

Unless otherwise provided for by a Rector's Resolution, the Vice-Rector's Office responsible for the matter in question shall be the Vice-Rector's Office for Research and International Relations.

V. Final Provisions

First. Accreditation of the Evaluation and Monitoring Committees.

In the case of evaluation and monitoring committees for which the regulations require accreditation by the competent authorities, it is the responsibility of the vice-chancellor responsible for the subject matter or the person designated to promote the processing of the accreditation.

Second. Entry into force.

This Regulation shall enter into force on the day following its Rector's Resolution.





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